

Electron-phonon coupling effect on the vibrational relaxation of CO on Pd(111)

Raúl Bombín Escudero

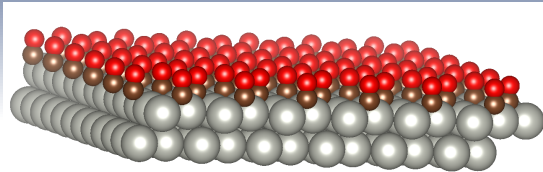
Centro de Física de Materiales (CFM) (UPV/EHU-CSIC), Donostia

Barcelona October 27, 2022



Electron-phonon coupling effect on the vibrational relaxation of CO on Pd(111)

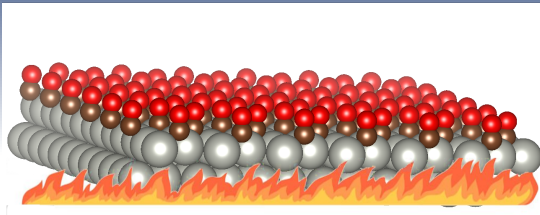
Motivation



Metallic surfaces covered with polar molecules

- In our case we study the Pd(111) surface with 0.5 ML of CO
- How does the electron phonon-coupling affects the the **internal stretch mode** under different conditions?

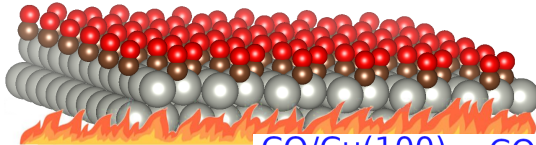
Motivation



Thermal heating

- **Thermal equilibrium**
- Changes in the internal stretch mode

Motivation

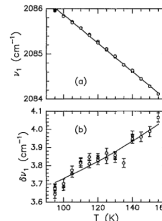


CO/Cu(100)

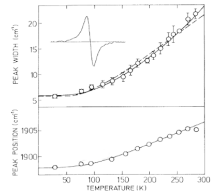
CO/Ni(111)

Thermal heating

- Thermal equilibrium
- Changes in the internal stretch mode

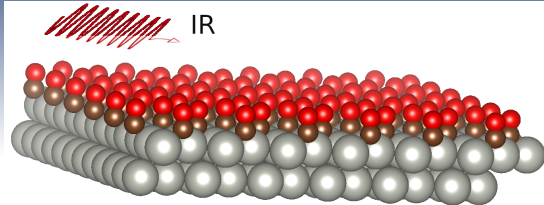


Gremer et al. J. Chem. Phys 101 1704 (1994)

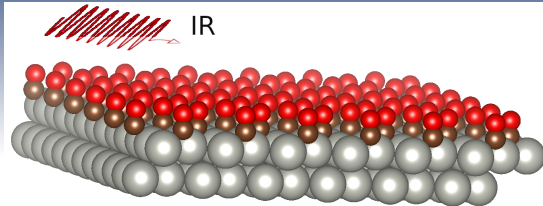


Person et al. PRL **54**, 2119-2122 (1985)

Motivation

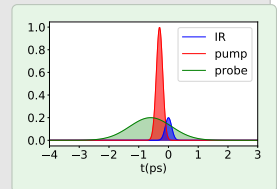


Motivation



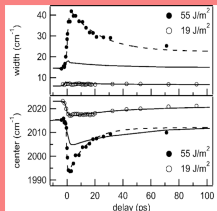
Pump-probe femtosecond spectroscopy

- ① Low intensity IR pulse $\rightarrow$ phase coherence
 $F \sim 15 \mu\text{J}/\text{m}^2$, $\Delta\tau \sim 150 \text{ fs}$, $\lambda = 800 \text{ nm}$
- ② Pump UV pulse $F \in [10 - 200] \text{ J}/\text{m}^2$,
 $\Delta\tau \sim 150 \text{ fs}$, $\lambda = 400 \text{ nm}$
- ③ Probe pulse The internal stretch mode is tracked



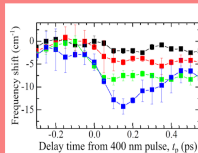
Pump-probe experimental results

CO/Ru(0001)



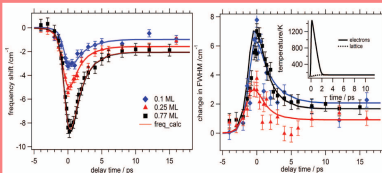
Bonn *et al.* PRL **84** 4653 (2000)

CO/Cu(100)



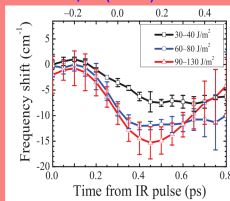
Inoue *et al.* PRL **117**, 186101 (2016)

CO/Cu(110)



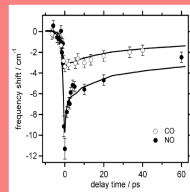
Omiya *et al.* JCP **141**, 214705 (2014)

CO/Pt(111)



Inoue *et al.* JCP **137**, 024704 (2012)

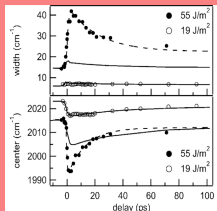
CO and NO on Ir(111)



Lane *et al.* JCPC **111**, 14198 (2007)

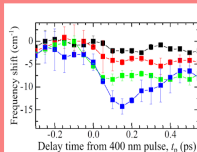
Pump-probe experimental results

CO/Ru(0001)



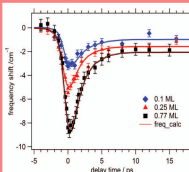
Bonn *et al.* PRL **84** 4653 (2000)

CO/Cu(100)

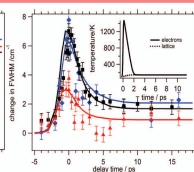


Innoue *et al.* PRL **117**, 186101 (2016)

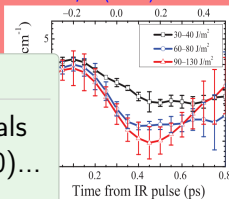
CO/Cu(110)



Omiya *et al.* JCP **141**, 214705 (2014)

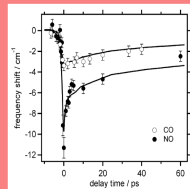


CO/Pt(111)



JCP **137**, 024704 (2012)

CO and NO on Ir(111)



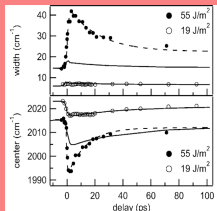
Lane *et al.* JCPC **111**, 14198 (2007)

Always redshift??

- FCC and HCP Transition metals
- Different surfaces: (111), (100)...
- Different coverages
- Different adsorbates: CO and NO
- Different lasers

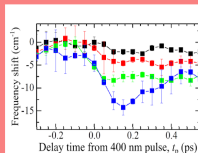
Pump-probe experimental results

CO/Ru(0001)



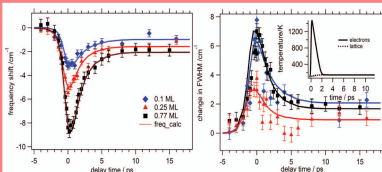
Bonn *et al.* PRL **84** 4653 (2000)

CO/Cu(100)



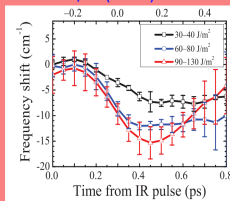
Innoue *et al.* PRL **117**, 186101 (2016)

CO/Cu(110)



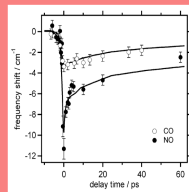
Omiya *et al.* JCP **141**, 214705 (2014)

CO/Pt(111)



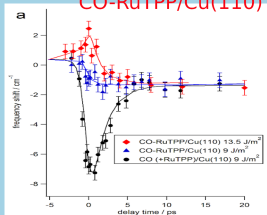
Inoue *et al.* JCP **137**, 024704 (2012)

CO and NO on Ir(111)



Lane *et al.* JCPC **111**, 14198 (2007)

CO-RuTPP/Cu(110)



Lane *et al.* Surfaces **2**, 117130 (2019)

Method

Method

- electronic states at DFT level
- phonons from DFT perturbation theory
- electron-phonon coupling using many-bodymany-body perturbation theory

In second quantization

$$\begin{aligned}
 \hat{H} &= \hat{H}_e + \hat{H}_{ph} + \hat{H}_{e-ph} \\
 \hat{H} &= \sum_{n,\mathbf{k}} \epsilon_{n,\mathbf{k}} \hat{c}_{n,\mathbf{k}}^\dagger \hat{c}_{n,\mathbf{k}} + \sum_{\nu,\mathbf{q}} \hbar\omega_{\nu,\mathbf{q}} (\hat{a}_{\nu,\mathbf{q}}^\dagger \hat{a}_{\nu,\mathbf{q}} + 1/2) \\
 &\quad + N^{-1} \sum_{\mathbf{k},\mathbf{q},m,n,\nu} g_{\nu}^{m,n}(\mathbf{k},\mathbf{q}) \hat{c}_{m,\mathbf{k}+\mathbf{q}}^\dagger \hat{c}_{n,\mathbf{k}} (\hat{a}_{\nu,\mathbf{q}} + \hat{a}_{\nu,-\mathbf{q}}^\dagger)
 \end{aligned}$$

Method

- electronic states at DFT level
- phonons from DFT perturbation theory
- electron-phonon coupling using many-bodymany-body perturbation theory

In second quantization

$$\begin{aligned}\hat{H} &= \hat{H}_e + \hat{H}_{ph} + \hat{H}_{e-ph} \\ \hat{H} &= \sum_{n,\mathbf{k}} \epsilon_{n,\mathbf{k}} \hat{c}_{n,\mathbf{k}}^\dagger \hat{c}_{n,\mathbf{k}} + \sum_{\nu,\mathbf{q}} \hbar\omega_{\nu,\mathbf{q}} (\hat{a}_{\nu,\mathbf{q}}^\dagger \hat{a}_{\nu,\mathbf{q}} + 1/2) \\ &\quad + N^{-1} \sum_{\mathbf{k},\mathbf{q}} g_{\nu}^{m,n}(\mathbf{k},\mathbf{q}) \hat{c}_{m,\mathbf{k}+\mathbf{q}}^\dagger \hat{c}_{n,\mathbf{k}} (\hat{a}_{\nu,\mathbf{q}} + \hat{a}_{\nu,-\mathbf{q}}^\dagger)\end{aligned}$$

With these ingredients we evaluate the
phonon self-energy

Method

- electronic states at DFT level
- phonons from DFT perturbation theory
- electron-phonon coupling using many-bodymany-body perturbation theory

In second quantization

$$\begin{aligned}\hat{H} &= \hat{H}_e + \hat{H}_{ph} + \hat{H}_{e-ph} \\ \hat{H} &= \sum_{n,\mathbf{k}} \epsilon_{n,\mathbf{k}} \hat{c}_{n,\mathbf{k}}^\dagger \hat{c}_{n,\mathbf{k}} + \sum_{\nu,\mathbf{q}} \hbar\omega_{\nu,\mathbf{q}} (\hat{a}_{\nu,\mathbf{q}}^\dagger \hat{a}_{\nu,\mathbf{q}} + \\ &+ N^{-1} \sum_{\mathbf{k},\mathbf{q}} g_{\nu}^{m,n}(\mathbf{k},\mathbf{q}) \hat{c}_{m,\mathbf{k}+\mathbf{q}}^\dagger \hat{c}_{n,\mathbf{k}} (\hat{a}_{\nu,\mathbf{q}} + \hat{a}_{\nu,-\mathbf{q}}^\dagger))\end{aligned}$$

With these ingredients we evaluate the
phonon self-energy



Method

Phonon self-energy $\pi(\omega) = \pi^{[1]}(\omega) + \pi^{[2]}(\omega)$

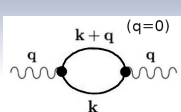
Novko *et al.* PRL **122** 016806 (2019)

Method

Phonon self-energy $\pi(\omega) = \pi^{[1]}(\omega) + \pi^{[2]}(\omega)$

Novko *et al.* PRL **122** 016806 (2019)

- interband $\propto g^2$



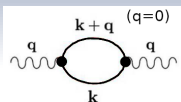
$$\pi_{\lambda}^{[1]} = \sum_{\mu, \mu', \mathbf{k}} \left| g_{\lambda}^{\mu, \mu'}(\mathbf{k}, 0) \right|^2 \frac{f(\epsilon_{\mu, \mathbf{k}}) - f(\epsilon_{\mu', \mathbf{k}})}{\omega_{\lambda} - \epsilon_{\mu, \mathbf{k}} - \epsilon_{\mu', \mathbf{k}} + i\eta}$$

Method

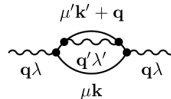
Phonon self-energy $\pi(\omega) = \pi^{[1]}(\omega) + \pi^{[2]}(\omega)$

Novko *et al.* PRL **122** 016806 (2019)

• interband $\propto g^2$



$$\pi_{\lambda}^{[1]} = \sum_{\mu, \mu', \mathbf{k}} \left| g_{\lambda}^{\mu, \mu'}(\mathbf{k}, 0) \right|^2 \frac{f(\epsilon_{\mu, \mathbf{k}}) - f(\epsilon_{\mu', \mathbf{k}})}{\omega_{\lambda} - \epsilon_{\mu, \mathbf{k}} - \epsilon_{\mu', \mathbf{k}} + i\eta}$$



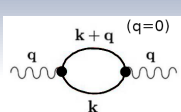
• intraband $\propto g^4$

Method

Phonon self-energy $\pi(\omega) = \pi^{[1]}(\omega) + \pi^{[2]}(\omega)$

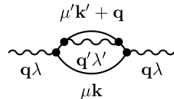
Novko *et al.* PRL **122** 016806 (2019)

• interband $\propto g^2$



$$\pi_{\lambda}^{[1]} = \sum_{\mu, \mu', \mathbf{k}} \left| g_{\lambda}^{\mu, \mu'}(\mathbf{k}, 0) \right|^2 \frac{f(\epsilon_{\mu, \mathbf{k}}) - f(\epsilon_{\mu', \mathbf{k}})}{\omega_{\lambda} - \epsilon_{\mu, \mathbf{k}} - \epsilon_{\mu', \mathbf{k}} + i\eta}$$

$$\pi_{\lambda}^{[2]}(\omega_{\lambda}) = - \sum_{\substack{\mu \mu' \mathbf{k} \sigma, \lambda' \mathbf{k}' \\ s, s' = \pm 1}} \left| g_{\lambda}^{\mu \mu}(\mathbf{k}, 0) \right|^2 \left| g_{\lambda'}^{\mu \mu'}(\mathbf{k}, \mathbf{q}') \right|^2$$



• intraband $\propto g^4$

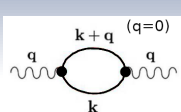
$$\times \frac{f(\epsilon_{\mu, \mathbf{k}}) - f(\epsilon_{\mu', \mathbf{k}'} - s' s \omega_{\mathbf{q}', \lambda'})}{\epsilon_{\mu, \mathbf{k}} - (\epsilon_{\mu', \mathbf{k}'} - s' s \omega_{\mathbf{q}', \lambda'})} \frac{s [n_b(s \omega_{\mathbf{q}', \lambda'}) + f(s' \epsilon_{\mu', \mathbf{k}'})]}{\omega_{\lambda} [\omega_{\lambda} + i\eta + s' (\epsilon_{\mu, \mathbf{k}} - \epsilon_{\mu', \mathbf{k}'} + s \omega_{\mathbf{q}', \lambda'})]},$$

Method

Phonon self-energy $\pi(\omega) = \pi^{[1]}(\omega) + \pi^{[2]}(\omega)$

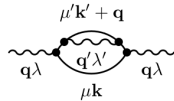
Novko *et al.* PRL **122** 016806 (2019)

• interband $\propto g^2$



$$\pi_{\lambda}^{[1]} = \sum_{\mu, \mu', \mathbf{k}} \left| g_{\lambda}^{\mu, \mu'}(\mathbf{k}, 0) \right|^2 \frac{f(\epsilon_{\mu, \mathbf{k}}) - f(\epsilon_{\mu', \mathbf{k}})}{\omega_{\lambda} - \epsilon_{\mu, \mathbf{k}} - \epsilon_{\mu', \mathbf{k}} + i\eta}$$

$$\pi_{\lambda}^{[2]}(\omega_{\lambda}) = - \sum_{\substack{\mu, \mu', \mathbf{k}, \sigma, \lambda', \mathbf{k}' \\ s, s' = \pm 1}} \left| g_{\lambda}^{\mu, \mu}(\mathbf{k}, 0) \right|^2 \left| g_{\lambda'}^{\mu, \mu'}(\mathbf{k}, \mathbf{q}') \right|^2 \times \frac{f(\epsilon_{\mu, \mathbf{k}}) - f(\epsilon_{\mu', \mathbf{k}'} - s' s \omega_{\mathbf{q}', \lambda'})}{\epsilon_{\mu, \mathbf{k}} - (\epsilon_{\mu', \mathbf{k}'} - s' s \omega_{\mathbf{q}', \lambda'})} \frac{s [n_b(s \omega_{\mathbf{q}', \lambda'}) + f(s' \epsilon_{\mu', \mathbf{k}'})]}{\omega_{\lambda} [\omega_{\lambda} + i\eta + s'(\epsilon_{\mu, \mathbf{k}} - \epsilon_{\mu', \mathbf{k}'} + s \omega_{\mathbf{q}', \lambda'})]},$$



• intraband $\propto g^4$

Frequency shift $\Delta\omega$ and linewidth γ

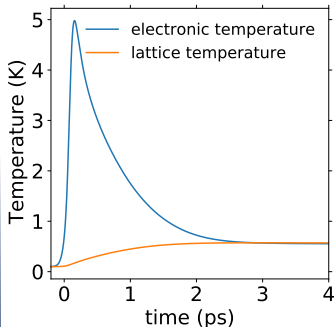
$$\Delta\omega = \text{Re}\pi_{\lambda}(\omega_{\lambda})$$

$$\gamma = -2\text{Im}\pi_{\lambda}(\omega_{\lambda})$$

Method - time dependence

$$C_e \frac{\partial T_e}{\partial t} = \frac{\partial}{\partial z} \left(\kappa_e \frac{\partial T_e}{\partial z} \right) - G(T_e - T_l) + S$$

$$C_l \frac{\partial T_l}{\partial t} = G(T_e - T_l),$$

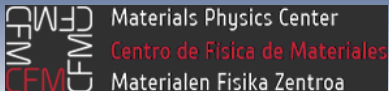


Input parameters

- Heat capacities C_e and C_l .
- thermal conductivity κ_e
- electron-phonon energy exchange coupling constant G

We use the values obtained by ab-initio by **Li and Ji Comp. Mater. Sci. 202 110959 (2022)**

Collaborators



Gas/solid interfaces group

@ Donostia



Maite Alducin



J. Iñaki Juaristi



Alberto S. Muzas



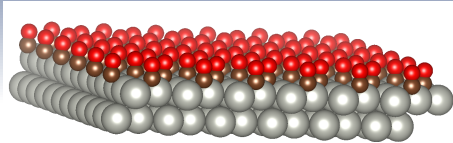
INSTITUT ZA FIZIKU

@ Zagreb

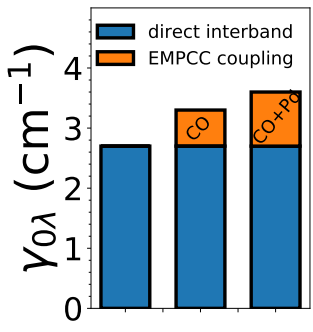
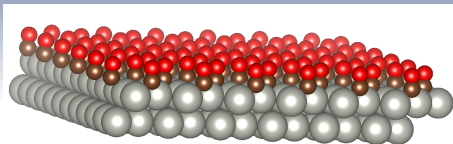


Dino Novko

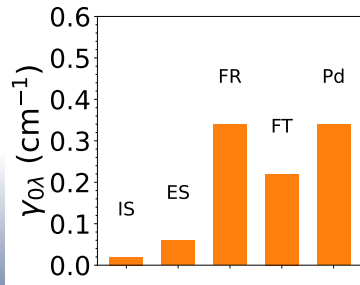
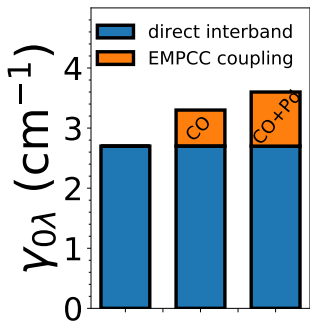
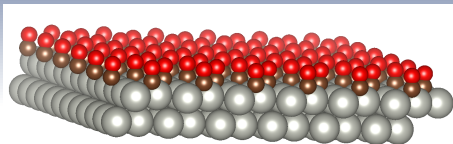
CO/Pd(111) - Low temperature linewidth



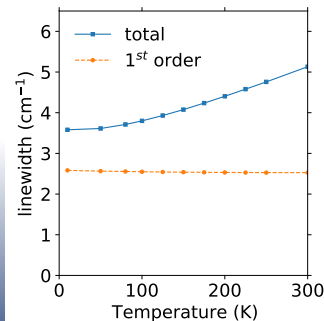
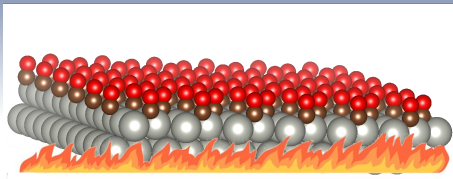
CO/Pd(111) - Low temperature linewidth



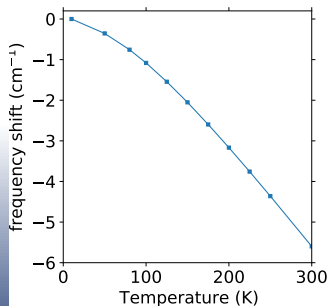
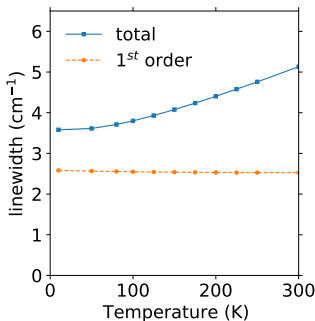
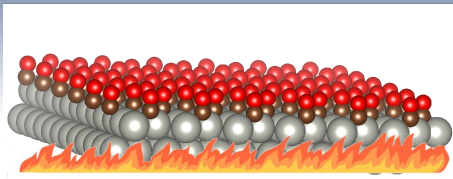
CO/Pd(111) - Low temperature linewidth



CO/Pd(111) - heat

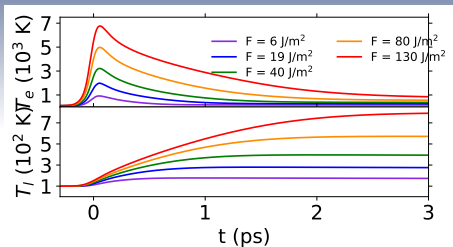
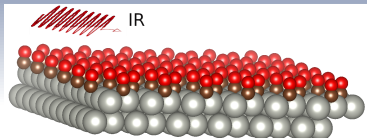


CO/Pd(111) - heat

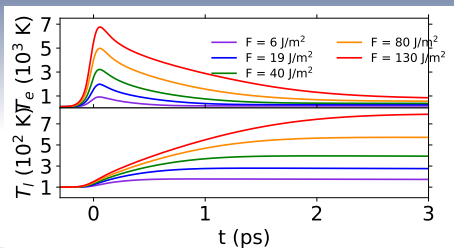
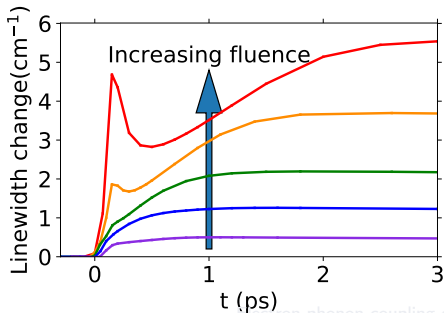
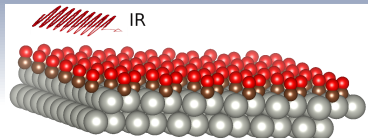


Electron-phonon coupling effect on the vibrational relaxation of CO on Pd(111)

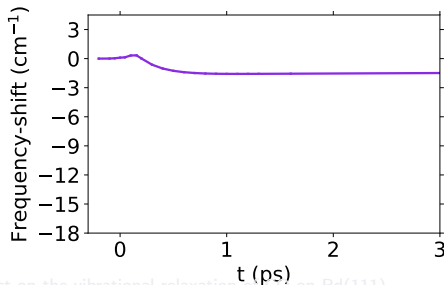
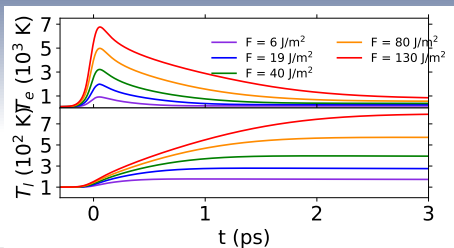
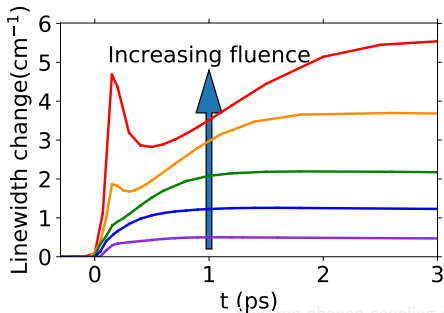
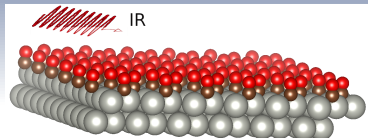
CO/Pd(111) - pump-probe experimental conditions



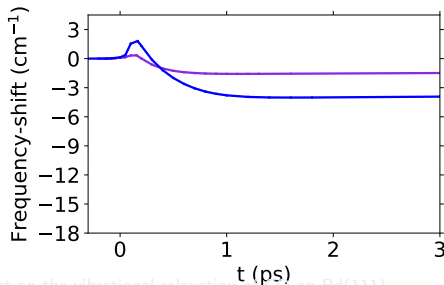
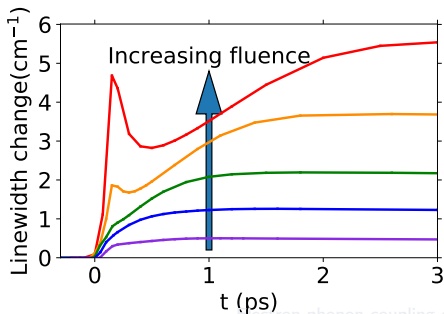
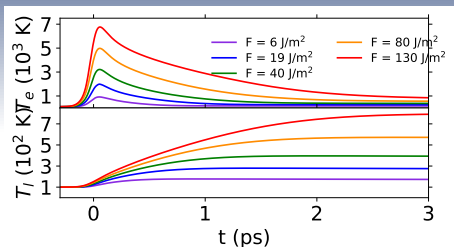
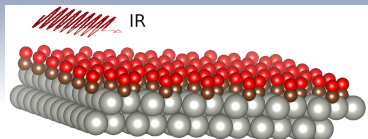
CO/Pd(111) - pump-probe experimental conditions



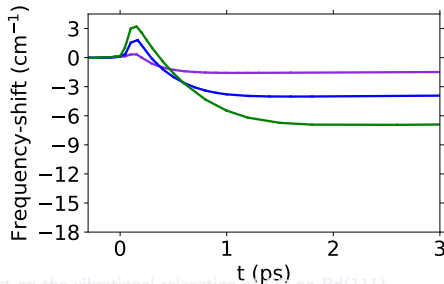
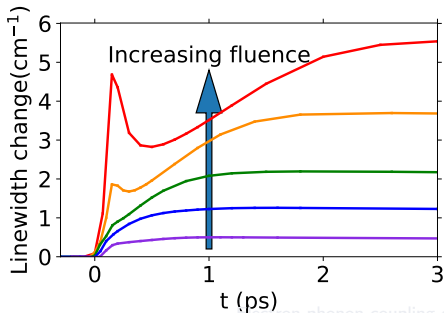
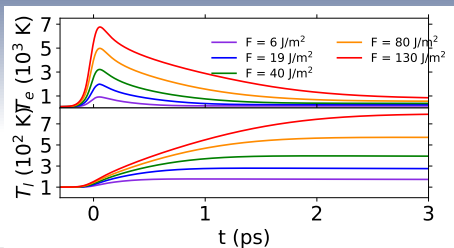
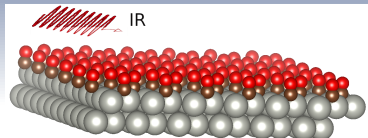
CO/Pd(111) - pump-probe experimental conditions



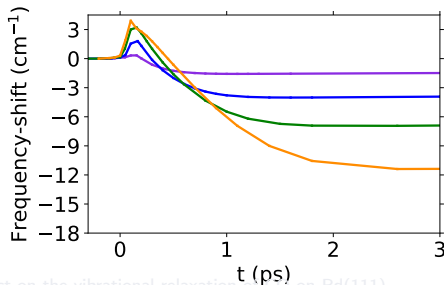
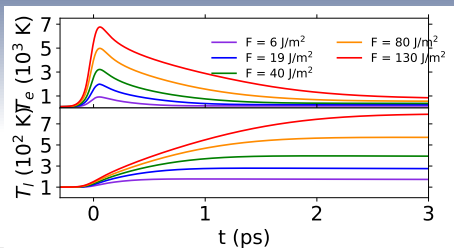
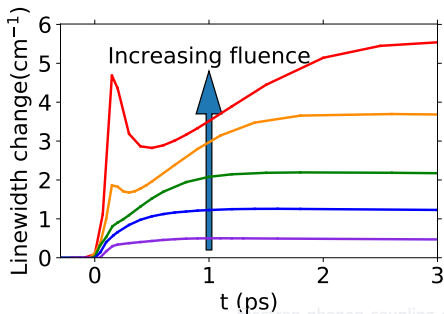
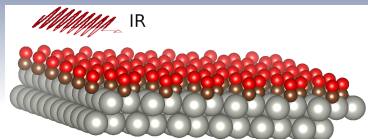
CO/Pd(111) - pump-probe experimental conditions



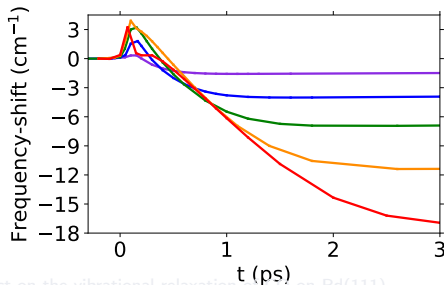
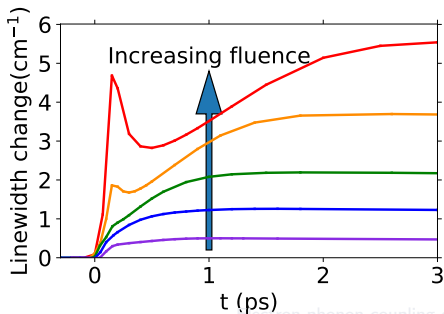
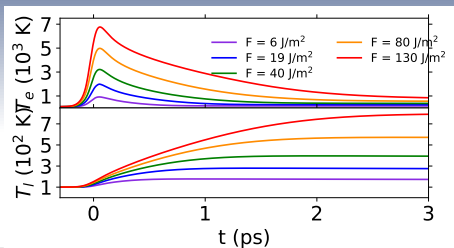
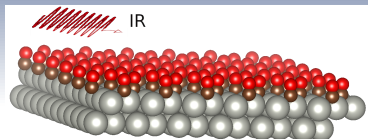
CO/Pd(111) - pump-probe experimental conditions



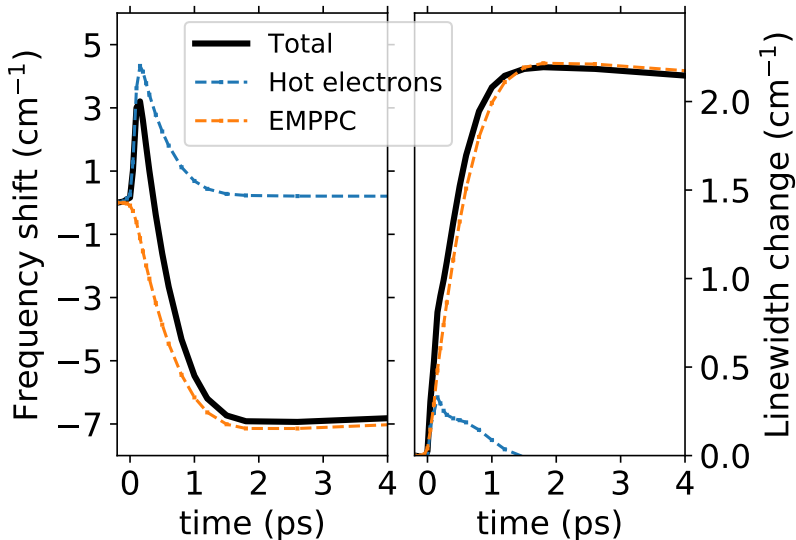
CO/Pd(111) - pump-probe experimental conditions



CO/Pd(111) - pump-probe experimental conditions

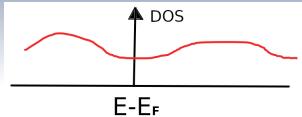


$$F = 40 \text{ J/m}^2$$



Where does the blue-shift comes from?

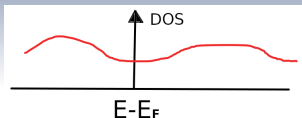
Usually, $\text{Re}[\pi^{[1]}]$ is increased as T_e does



$$\pi_{\lambda}^{[1]} = \sum_{\mu, \mu', \mathbf{k}} \left| g_{\lambda}^{\mu, \mu'}(\mathbf{k}, 0) \right|^2 \frac{f(\epsilon_{\mu, \mathbf{k}}) - f(\epsilon_{\mu', \mathbf{k}})}{\omega_{\lambda} - \epsilon_{\mu, \mathbf{k}} - \epsilon_{\mu', \mathbf{k}} + i\eta}$$

Where does the blue-shift comes from?

Usually, $\text{Re}[\pi^{[1]}]$ is increased as T_e does

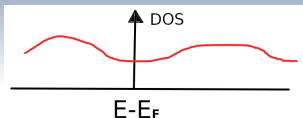


$$\pi_{\lambda}^{[1]} = \sum_{\mu, \mu', \mathbf{k}} \left| g_{\lambda}^{\mu, \mu'}(\mathbf{k}, 0) \right|^2 \frac{f(\epsilon_{\mu, \mathbf{k}}) - f(\epsilon_{\mu', \mathbf{k}})}{\omega_{\lambda} - \epsilon_{\mu, \mathbf{k}} - \epsilon_{\mu', \mathbf{k}} + i\eta}$$

⇒ Red-shift that increases with T_e

Where does the blue-shift comes from?

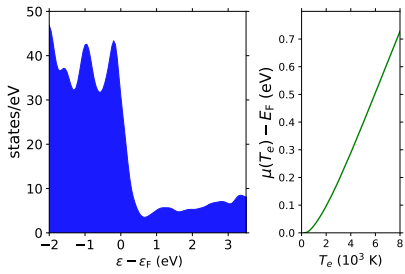
Usually, $\text{Re}[\pi^{[1]}]$ is increased as T_e does



$$\pi_{\lambda}^{[1]} = \sum_{\mu, \mu', \mathbf{k}} \left| g_{\lambda}^{\mu, \mu'}(\mathbf{k}, 0) \right|^2 \frac{f(\epsilon_{\mu, \mathbf{k}}) - f(\epsilon_{\mu', \mathbf{k}})}{\omega_{\lambda} - \epsilon_{\mu, \mathbf{k}} - \epsilon_{\mu', \mathbf{k}} + i\eta}$$

⇒ Red-shift that increases with T_e

But in the Pd surface...



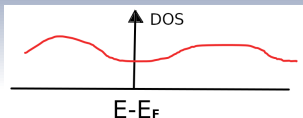
$$f(\epsilon, T, \mu) = \frac{1}{e^{(\epsilon - \mu(T_e))/k_B T} + 1}$$

$$N_e = 2 \int \text{DOS}(\epsilon) f(\epsilon, T, \mu) d\epsilon$$

The **chemical potentials** shifts to preserve the number of electrons N_e

Where does the blue-shift comes from?

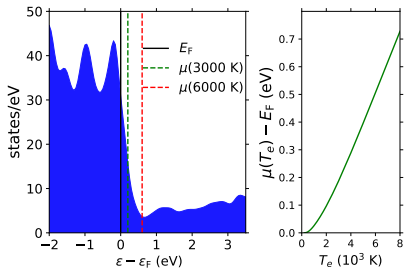
Usually, $\text{Re}[\pi^{[1]}]$ is increased as T_e does



$$\pi_{\lambda}^{[1]} = \sum_{\mu, \mu', \mathbf{k}} \left| g_{\lambda}^{\mu, \mu'}(\mathbf{k}, 0) \right|^2 \frac{f(\epsilon_{\mu, \mathbf{k}}) - f(\epsilon_{\mu', \mathbf{k}})}{\omega_{\lambda} - \epsilon_{\mu, \mathbf{k}} - \epsilon_{\mu', \mathbf{k}} + i\eta}$$

⇒ Red-shift that increases with T_e

But in the Pd surface...



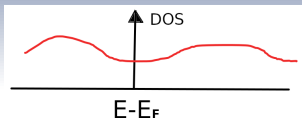
$$f(\epsilon, T, \mu) = \frac{1}{e^{(\epsilon - \mu(T_e))/k_B T} + 1}$$

$$N_e = 2 \int \text{DOS}(\epsilon) f(\epsilon, T, \mu) d\epsilon$$

The **chemical potentials** shifts to preserve the number of electrons N_e

Where does the blue-shift comes from?

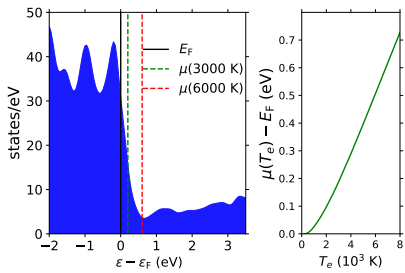
Usually, $\text{Re}[\pi^{[1]}]$ is increased as T_e does



$$\pi_{\lambda}^{[1]} = \sum_{\mu, \mu', \mathbf{k}} \left| g_{\lambda}^{\mu, \mu'}(\mathbf{k}, 0) \right|^2 \frac{f(\epsilon_{\mu, \mathbf{k}}) - f(\epsilon_{\mu', \mathbf{k}})}{\omega_{\lambda} - \epsilon_{\mu, \mathbf{k}} - \epsilon_{\mu', \mathbf{k}} + i\eta}$$

⇒ Red-shift that increases with T_e

But in the Pd surface...



$$f(\epsilon, T, \mu) = \frac{1}{e^{(\epsilon - \mu(T_e))/k_B T} + 1}$$

$$N_e = 2 \int \text{DOS}(\epsilon) f(\epsilon, T, \mu) d\epsilon$$

The **chemical potentials** shifts to preserve the number of electrons N_e

⇒ Screening effect

Where does the blue-shift comes from?

the response function, $\chi(\omega)$

$$\chi(\omega) = \sum_{\mu\mu'\mathbf{k}\sigma} \frac{f(\epsilon_{\mu\mathbf{k}}) - f(\epsilon_{\mu'\mathbf{k}})}{\omega + \epsilon_{\mu\mathbf{k}} - \epsilon_{\mu'\mathbf{k}} + i\eta}.$$

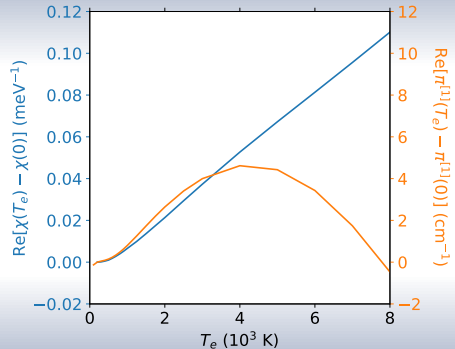
accounts for the thermal effects that arise from the electronic structure.

Where does the blue-shift comes from?

the response function, $\chi(\omega)$

$$\chi(\omega) = \sum_{\mu\mu'\mathbf{k}\sigma} \frac{f(\epsilon_{\mu\mathbf{k}}) - f(\epsilon_{\mu'\mathbf{k}})}{\omega + \epsilon_{\mu\mathbf{k}} - \epsilon_{\mu'\mathbf{k}} + i\eta}.$$

accounts for the thermal effects that arise from the electronic structure.



Summary

Internal stretch of CO on Pd(111)

- At **low temperature** a **linewidth of $\approx 3.6 \text{ cm}^{-1}$** is obtained, in line with similar systems.
- The **EMPPC mechanism drives the thermal changes** in the IS.
- Under **IR pump-probe experiments** conditions the coupling to hot electrons and the EMPPC mechanism compete.
 - The **electronic structure of Pd(111) screens the e-ph interaction** giving place to an anomalous blue-shift.
 - The **coupling to other phonon modes induces a red-shift**.